

In-House Senior Legal Moves

UK Report

July 2022 to September 2022



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The year 2022 continues to be an incredibly busy time for hiring at the senior level following on from similar activity levels/trends in 2021. This is also echoed at the junior end of the market with some candidates having multiple offers to choose from.

In this quarter, we saw a number of General Counsel and Head of Legal hires being made across the board within the financial Services Sector, particularly being weighted in the fintech space

Below is a snapshot of some high-profile Legal moves in the banking and financial services Legal sector.

July 2022

Kathryn King Sudol joined Kohlberg Kravis Roberts (KKR) as their new General Counsel. Kathryn joined KKR from Simpson Thatcher Bartlett where she was Global Co-Head of M&A. Kathryn replaces David Sorkin who moved to become KKR's Chief Legal Officer. These new appointments will become effective at the end of the first quarter of 2023.

Daniel Rawsterne was promoted to Managing Director, Deputy General Counsel, Global Financial Crimes Legal at J.P. Morgan from his previous role as Managing Director, Associate General Counsel - EMEA & LATAM Head of Global Financial Crimes Legal. Daniel joined J.P. Morgan in February 2013 having trained and qualified at Allen & Overy.

Colin Cooke was appointed Head of Cross Product Legal Infrastructure at Citi Bank. Colin joins Citi from Barclays where he was Director – Head of Investment Bank Markets (Legal) for EMEA.

Sebastian Elsner was appointed Managing Director - Global Head of Product Management Alternatives at Deutsche Bank from his previous role as Managing Director - Head of Product Structuring, Product Legal & Product Tax at Aquila Capital.

Pamela Riley was promoted to Head of Legal - Cross Border Products at Fidelity International from her role as Senior Legal Counsel. Pamela joined Fidelity in June 2018 having previously worked at BlackRock.

Spencer Collins joined Arm Holdings as Chief Legal Officer. Spencer has served as Arm's interim General Counsel and member of its Executive Committee since February 2022. Spencer joined Arm from Softbank Investment Advisors (SBIA) where he was Managing Partner and General Counsel.

Behram Nasir was appointed General Counsel of OTC Europe LLP (the largest independent interdealer broker of commodities in N. America and Europe), overseeing all legal aspects of its regulated business across EMEA, his previous role was as a Senior Lawyer at Morgan Stanley.

Benjamin Tan was appointed Executive Director and Assistant General Counsel at J.P. Morgan from his previous role as Director (Derivatives Practice Group) at Credit Suisse.

Dipán Shah was promoted to Head of Legal at Masthaven Bank. Dipán joined Masthaven in December 2021 as Senior Legal Counsel following time as a Consultant with Axiom and having previously worked as a Senior Associate in the Finance Group at Olswang LLP.



August

Michael Gallagher was named General Counsel of Pepper Money UK from his previous role as Special Counsel for National Australia Bank. Michael was formerly Head of Legal (Structured Debt) and Managing Director at the Royal Bank of Scotland.

Jonathan Greenwold was appointed Global Head of Legal and Compliance at Carne Group following the acquisition of The Asset Management Exchange by the Carne Group. Before the acquisition, Jonathan was the Head of Legal, Risk and Compliance at The Asset Management Exchange.

Michael Phillips was promoted to Head of Legal, Advice and Central Functions at Schroders Personal Wealth. Before his promotion, Michael was a Senior Lawyer at Schroders Personal Wealth.

Shanika Wanigasekera was appointed Associate General Counsel & Head of Trading Legal for BlueBay Asset Management.

September

David Ludwick joined Morgan Stanley as a Managing Director in its legal and compliance division sitting between the London and New York offices. Prior to joining Morgan Stanley David was co-head of Freshfields Bruckhaus Deringer's international capital markets group.

Amanda Sanchez-Barry was promoted to General Counsel for SoftBank Investment Advisers having been a partner at Softbank Investment Advisors since October 2018. Before joining Softbank, Amanda was a Managing Associate at Linklaters where she worked since 2005.

Kirsten Bryans was appointed General Counsel at My Community Bank. Kirsten moves from her role as General Counsel at GuarantCo where she started in March 2017 as Head of Legal.

Charlotte Davies joins Evelyn Partners as General Counsel from her previous role as General Counsel and Company Secretary at Provident Financial Group plc.

Melanie Barnett was promoted to General Counsel and Company Secretary at Provident Financial plc from her role as Deputy General Counsel.

Nicola Barrett was promoted to Chief Compliance Officer UK from her role as Deputy General Counsel/CCO EMEA at Hidden Road, a leading global credit network for institutions.

Georgina Behrens was appointed the General Counsel & Company Secretary for Recognise Bank from her previous role as Executive Director, Company Secretary, Chief Risk Officer, General Counsel & Head of Compliance at B&C plc.

Evelyn McAdam joined HSBC as General Counsel in the group's Mergers and Acquisitions (M&A) section. Evelyn moves from GE Renewable Energy, where she spent 17 years in a variety of roles. She moved from senior M&A counsel to executive M&A counsel before being appointed GC in February 2022.



September *(continued)*

Richard Given joined OpenPayd as Group General Counsel from fellow financial platform 10x Banking. Richard spent five years as General Counsel and Company Secretary at 10x Banking, a technology provider for banks and providers of financial services.

Ellen Hastings Jones joined Tandem Bank as General Counsel. Prior to this, she was the General Counsel of Pepper Money.

Matteo Sbraga a former Managing Associate General Counsel, Group Corporate & Treasury Legal at HSBC has re-joined Clifford Chance as a Senior Associate in their DCM Team.

Daniel Jordan joined Lansdowne Partners as General Counsel. Daniel was previously Legal Counsel at GSA Capital between May 2017 and August 2022 prior to which he trained and qualified at Dechert LLP.



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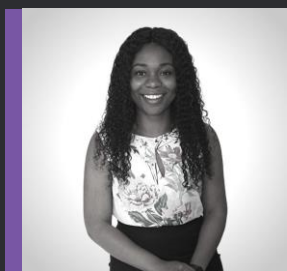
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